

Appendix G – Penalty Points System

- 1.1 North Northamptonshire Council operates a points-based system, which allows minor breaches of the rules to be recorded and considered in context, while referring those with persistent or serious breaches to the licensing committee. This has the benefit of consistency in enforcement and makes better use of the licensing committee's time. North Northamptonshire Council's Enforcement Policies will be fully considered by the enforcing officer when determining the manner in which any breach of the legislation or the requirements of this policy are dealt with. Where it is decided that the use of penalty points is appropriate, they will be issued in accordance with the rate in the attached schedule.
- 1.2 Before penalty points are issued there must be sufficient evidence to prove the offence or breach of conditions. Evidence for penalty points is considered 'on the balance of probability', not the higher threshold of 'beyond reasonable doubt'.
- 1.3 Each case will be considered on its merits.
- 1.4 When points are issued by an authorised officer, the owner or driver will be sent written confirmation within five working days. There is a right of appeal to the Licensing Sub Committee. However, anyone wishing to appeal should be aware that the committee may decide to increase points or apply another sanction, should they feel there is merit in doing so.
- 1.5 Points incurred will remain on the record for a fixed period. The usual duration of a driver and private hire vehicle operator licence is three and five years respectively. To ensure that all past behaviour is taken into account when considering whether to grant a new licence, the points will remain on the record from the date of the incident for 3 years for drivers and 5 years for private hire vehicle operators. The Council will however consider whether a review is undertaken within a shorter period for example, a year in situations where points are incurred; as this may indicate rapidly deteriorating standards or unsatisfactory conduct.1.6 Any driver, proprietor or operator accumulating twelve points within the designated period will be required to appear before a hearing of the Licensing Sub Committee for a review of the conduct of the licensee and whether further action is necessary to address any concerns..
- 1.6 When a driver, proprietor or operator is brought before a Licensing Sub Committee that Panel may exercise one of the following options:-
 - (i) Extend the two year period in which points remain live;
 - (ii) Issue written warning;
 - (iii) Suspension of licence(iv)
 - (v) Undertaking additional training as well as or instead of any of the above
 - (vi) Revoke the licence;
 - (vii) Take no further action;
 - (viii) Any other action the sub committee deems appropriate

- 1.8 Any driver, operator or proprietor required to appear before a Licensing Sub Committee having accumulated twelve points shall be entitled to make representations to the Panel or be legally represented at the hearing.
- 1.7 Any driver, proprietor or operator given a period of suspension or having their licence revoked shall be entitled to appeal to Magistrates Court within twenty one days of notification of the Sub Committee's decision.
- 1.8 When a Licensing Sub Committee hearing has been held, any points accumulated will be deemed as spent unless the Licensing Sub Committee decides otherwise.
- 1.9 If the Licensing Sub Committee decides that any points will remain live they must stipulate the point (date) at which they will expire.
- 1.10 Nothing in this penalty points system will stop the Council from taking action under any other appropriate legislation.
- 1.11 This penalty points system will be reviewed two years after implementation and as necessary thereafter.
- 1.12 Any breaches of the vehicle licence conditions which result in penalty points being awarded, will be applied to the driver and/or the operator / proprietor as deemed appropriate in that particular case.

Private Hire/Hackney Carriage Vehicle Licences

Will be issued to the vehicle owner or operator

Failing to display clearly licence plates on exterior of vehicle.	4
Failing to display clearly interior licence plate so that it is visible to passengers.	4
Carrying more persons than permitted by the vehicle licence.	8
Fixing the exterior licence plates to the vehicle other than in a manner authorised by the Council when not undertaken by the Council.	4
Failing to notify changes affecting the particulars on the vehicle registration document in writing to the Council e.g. change of address.	4
Proprietor of a licensed vehicle exhibiting business advertising details on rear doors exceeding the prescribed limits.	3
Fixing any form of advertising on the inside of the vehicle without written consent.	4
Failing to have a dry powder fire extinguisher in vehicle in such a position affixed by a bracket to be readily available for use with the vehicle registration number clearly marked and legible.	4
Failing to provide a first aid kit in the vehicle in such a position to be readily available for use with the vehicle registration number clearly marked and legible.	4
Affixing a roof sign on a licensed private hire vehicle.	6
Failing to display notice in vehicle requiring passengers to wear the seat belts provided.	3
Permitting a child under the age of 13 years to use a front passenger seat.	6
Permitting a child to be carried in a rear facing carrier in the front passenger seat in a vehicle fitted with a passenger side airbag.	6
Licensed vehicle proprietors failing to ensure the seats are clean and in a good state of repair.	3
Licensed vehicle proprietor failing to ensure the vehicle floor and coverings are clean and in a good state of repair.	3
Licensed vehicle proprietor failing to ensure the fittings, furniture and the vehicle exterior are kept in a clean and well maintained condition.	3
Failing to permit inspection and test by a Council authorised officer at any time.	6

Failure to return a plate after notice has been given following expiry, revocation, or suspension of a private hire vehicle licence.	6
Failure to undertake daily vehicle checks recorded on the inspection form.	4
The driver of a licensed vehicle failing to ensure the safety of persons conveyed in or entering or alighting from the vehicle.	6
Failing to carry out necessary repair work after road side check reveals the need for some.	6
Hackney Carriage Vehicles Only	
Proprietor of a hackney carriage vehicle failing to notify the Council within 24 hours of taximeter being broken.	6
The proprietor of a hackney carriage vehicle transferring hackney carriage plate to another vehicle without advising the Council within 14 days	6
Hackney carriage proprietor failing to provide sufficient means by which any person in the carriage may communicate with the driver.	3
Proprietor of a hackney carriage failing to provide taxi meter bearing the words 'For Hire' and so constructed, attached and maintained to comply with requirements	3
Failing to ensure that the word 'Taxi' is exhibited on the roof sign in plain letters which are legible.	3
Proprietor of a hackney carriage failing to ensure that taxi meter is not in operation when the 'Taxi' sign is illuminated.	3
Proprietor of a hackney carriage failing to ensure that correct fare and the word FARE is displayed clearly and legibly on the meter including in the hours of darkness.	6
Proprietor of a hackney carriage failing to ensure that a meter fixed to a hackney carriage shall be sealed so that no person can tamper with the meter except by breaking the seal.	6
A proprietor or driver of a hackney carriage vehicle, when standing or plying for hire shall not call out or otherwise importune any person to hire a carriage.	3
The proprietor of a hackney carriage vehicle failing to cause a statement of fares to be exhibited inside the carriage in clearly distinguishable letters and figures.	3

Hackney Carriage/Private Hire Driver Conditions

Using vehicle as private hire vehicle without a licence for that vehicle.	12
Lending or parting with a hackney carriage driver's licence.	8
Failure to present a hackney carriage or private hire vehicle for inspection on request by an authorised officer of the Council.	6
Making a false statement or withholding information to obtain a hackney carriage/private hire driver's licence.	12
Failure to surrender a driver's licence after suspension, revocation or refusal to renew.	12
Private hire drivers and vehicles, using or waiting on a Hackney Carriage rank.	4
Obstruction of an authorised officer of the Council or a Police Officer.	12
Failure to comply with a requirement of an authorised officer of the Council or a Police Officer.	6
Failure to give information or assistance to an authorised officer of the Council or a Police Officer.	6
Giving false information on an application for a hackney carriage/private hire drivers licence.	12
Failure to notify change of address of a licensed driver within 7 days.	4
Failing to take a hackney carriage fare.	6
Carrying other persons in a private hire vehicle or hackney carriage vehicle other than with the consent of the hirer.	4
Being under the influence of alcohol or drugs when driving a hackney carriage or private hire vehicle.	12
Failure to return licence and licence plates when vehicle ceases to be used as a hackney carriage or private hire vehicle.	6
Recklessly and dangerous driving of a hackney carriage or private hire vehicle leading to injury or danger.	12
Licensed driver failing to notify the Council of any cautions, convictions, including any pending convictions or fixed penalties within 7 days of notification. This includes any Road Traffic Act offences.	8
Driver failing to ensure that at all times when driving for hire that the driver is clean and smartly dressed.	4

The driver behaving in an abusive, aggressive, threatening or intimidating manner to any passenger, officer of the Council or contracted garage personnel.	6
The proprietor or driver of a hackney carriage hired to be at a certain place and time failing so to be without sufficient cause or failing to notify the hirer in good time that they will be unable to keep the booking.	4
The driver of a hackney carriage failing to take the shortest route to any destination unless given other directions by the hirer.	6
Proprietor or driver of a hackney carriage or private hire vehicle carrying more than the prescribed number of persons specified on the plate.	8
Licensed driver failing to wear the provided badge in a position and manner to be plainly visible.	4
Licensed driver refusing or failing to carry, load or unload a reasonable quantity of luggage when requested by the hirer.	4
Interfering with a taxi meter with intent to mislead.	12
The driver of a hackney carriage when plying for hire on a rank and not actually hired failing to remain with the vehicle	6
Hackney carriage and/or private hire driver failing or refusing to carry an assistance dog for the disabled or visually impaired when requested by the dog's owner unless the driver holds an Exemption Certificate issued by the Council.	8
Charging a fare for the carriage of an assistance dog or wheelchair for the disabled or visually impaired.	6
Failing to provide reasonable assistance to passengers with a disability.	4
Failure to report an accident to the Council within 72 hours or failure to subsequently submit the completed accident form within 7 days	6
Failure to return a plate after notice has been given following expiry, revocation, or suspension of a private hire vehicle licence.	6
Licensed driver failing to check the vehicle after a hiring for property inadvertently left and failing to notify the lost property to their Operator or to the Police station within 24 hours when not claimed sooner by the owner.	3
Smoking in the licensed vehicle.	12
Using or permitting the use of an E-cigarette/vaping cigarette or equivalent in the licensed vehicle.	6
Using personal information such as mobile phone numbers inappropriately.	6

Hackney carriage and/or private hire driver failing to keep the Council informed within 7 days of the Operator he/she is working for.

4

Private Hire Licensed Operator

Proprietor of private hire vehicle using an unlicensed driver.	12
Operating a vehicle as a private hire vehicle without a Private Hire Operator Licence.	12
Operating a vehicle as a private hire vehicle when the driver is not licensed as a private hire driver.	12
Operator refusing to accept a booking by or on behalf of a disabled person accompanied by an 'assistance dog' when the reason for failure or refusal is that the disabled person will be accompanied by the 'assistance dog'.	6
Failure to have in place suitable public liability insurance on display in the public waiting area.	6
Failure to report an accident to the Council within 72 hours or failure to subsequently submit the completed accident form within 7 days.	6
Failure of a Private Hire Operator to keep proper and legible (in ink or computerised) records of all bookings, or failure to produce them on request of an authorised officer of the Council or a police officer, or failure to keep above records for a period of 3 years after the last entry.	8
Failure of a Private Hire Operator to keep the up to date, legible (in ink or computerised) private hire vehicles register, or failure to produce them on request of an authorised officer of the Council or a police officer, or failure to keep above records for a period of 3 years after the last entry.	8
Failure of a Private Hire Operator to keep the up to date, legible (in ink or computerised) booking staff register, or failure to produce them on request of an authorised officer of the Council or a police officer, or failure to keep above records for a period of 3 years after the last entry.	8
Failure of a Private Hire Operator to produce his/her licence on request.	4
Failure to return a plate after notice has been given following expiry, revocation, or suspension of a private hire vehicle licence.	6
Private Hire Operator who ceases to carry on a private hire vehicle business failing to return the operator's licence to the Council without delay.	12
Private Hire Operator failing to notify the Council within 10 working days of any change of home address, whether permanent or temporary.	6
Private Hire Operator failing to notify the Council, providing full details, of any conviction, binding over, caution, warning, reprimand or arrest for any matter (whether or not charged) imposed on him / her (or if the Operator is a Company or Partnership on any of the Directors, Secretary or Partners) during the period of the licence within 10 working days of the offence.	8

Private Hire Operator failing to notify the Council, in writing, within 7 days of the engagement or employment of a new hackney carriage / private hire driver.	4
Private Hire Operator failing to notify the Council in writing, within 7 days of the termination of engagement or employment of hackney carriage / private hire drivers.	4
Private Hire Operator failing to inform the Council if they are going to be absent from the day to day running of the business for a period of 2 consecutive months or more. In doing this, the operator must give the name of the person that will be responsible for the running of the business on their behalf.	6
Operator of private hire vehicle failing without good reason to ensure that a booked vehicle attends at the appointed time and place.	4
Operator of private hire vehicle failing to retain Private Hire Driver Licence as long as the driver remains in his employ.	3
Private Hire Operator failing to make available for inspection at reasonable times original private hire driver licences held by him/her.	3
The operator of private hire vehicle failing to maintain vehicles used under the licence in a very high standard of mechanical efficiency with the bodywork free from rust and dents; the interior and exterior in a good state of repair and cleanliness.	4
Operator failing to ensure that their licensed drivers are clean or smartly dressed.	4
Private Hire Operator failing to ensure that the drivers of private hire vehicles do not ply the streets for hire or use hackney carriage stands.	4
Private Hire Operator failing to ensure that the conduct of their licensed drivers remains free from repeated complaints.	4
Private Hire Operator failing to return the Private Hire Driver Licence to the licensed driver when no longer employed by the operator.	6
Private Hire Operator failing to notify the Council in writing of any change in partnership, directorship, ownership, management or control of the business within 5 working days.	6
Private Hire Operator failing to notify the Council in writing within 5 working days of any other material change affecting the licence during its validity.	4